

# Survey on Penalty Charging Systems for Indian Number-plates

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**Abstract:** In our daily life, we come across many problems caused due to traffic rule violation by some people. M-governance is a sub-domain of e-governance. Electronic services are made available to people because of m-governance, with help of mobile phones. Mobile services are cheap and easily accessible in rural areas of India as well. This project strives towards improving the traffic discipline by proposing advanced technological solutions. In this project, we are designing a system which will help the traffic police officer to penalize the vehicle driver for violation of traffic rules. The penalty will be imposed to the vehicle owner if the PUC of the vehicle has expired, if the car is standing on a zebra crossing, for illegal signal crossing, crossing the prescribed speed limit and parking the car in no parking zone. The traffic police officer will have to click a picture of the vehicle number plate and select the type of complaint. A complaint will be registered against the vehicle owner and he/she will be informed about the complaint details via SMS service. The vehicle owner will be required to pay his/her fines within a stipulated time period.

**Keywords:** Android Based, m-governance, ANPR, Penalty System, Traffic Police, Law Enforcement.

## I. INTRODUCTION

In the past few years, traffic congestion has increased enormously. Though the vehicle volume has increased exponentially, the road infrastructure is not yet up to the mark. This in turn leads to increased traffic congestion and road accidents. The Delhi Traffic Police's data for the year 2014 (till March '15) given below, reveals that most of the guilty drivers are violating traffic rules as they aren't much bothered about the paltry fines and negligible punishment.

<b>NO STOPPING THEM</b>		
<b>OFFENCE</b>	<b>2013</b>	<b>2014</b>
DRIVING WITHOUT LICENCE	1,900	2,800
TRIPLE-RIDING	12,800	13,900
WITHOUT HELMET	78,100	1,06,800
IMPROPER PARKING	96,400	1,74,800
DANGEROUS DRIVING	37,400	46,500
STOP-LINE CROSSING	1,800	37,000
NOT USING SEAT BELT	43,900	64,000
USING MOBILE WHILE DRIVING	350	1,170
WITHOUT SPEED GOVERNOR	5	53
NOT DRIVING IN PROPER LANE	532	905
SMOKING WHILE DRIVING	0	92

In an attempt to reduce the problems related to traffic and improve the traffic discipline, advanced technological solutions have been proposed in this paper.

The first stage would be the registration of vehicles in a database stored and maintained at the RTO server. The details include vehicle information such as vehicle number, vehicle model and colour as well as the owner information such as owner name, email id, mobile number, Driving license number etc. This database will also maintain the complaints registered against various vehicle owners and the fines to be paid by them and if paid then make the necessary change.

The second stage is the traffic police registration and login. Each traffic police officer will require to register using credentials such as his/her name, email id, jurisdiction. They will then be given a username and password to login into the application to ensure authorized use/security.

Once logged in the traffic police will have the options of registering a complaint or checking the payment status and clearing/accepting a payment of a vehicle owner. To register a complaint, the traffic police officer will have to click a picture of the vehicle number plate from his camera and specify the type of complaint from the given options. The



image will be sent to server where the vehicle number will be identified and the fine will be charged to the vehicle owner. To check the fines assigned to the vehicle, he will have to enter the vehicle number and will know the total charges corresponding to that vehicle. He can then accept payment and the database will be modified accordingly. Once the complaint is registered the vehicle owner will be notified about the same through SMS service. He will also be informed if his/her vehicle has been towed in case of No Parking complaint and to which police station as well.

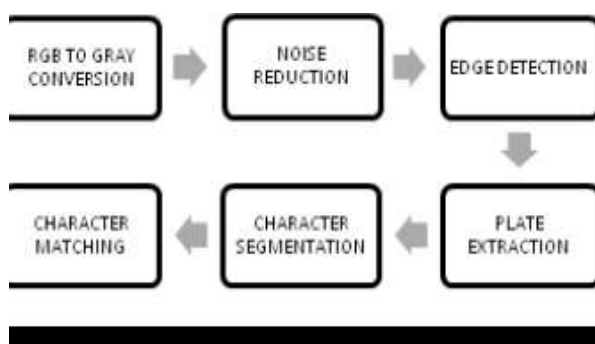
**II. RELATED WORK**

A review was done on different topics which would be a hurdle while designing the penalty system for traffic law enforcement. Some of the key ideas are described paper-wise are as follows:

**Anu Agarwal, SudhirGoswami [1]**

This paper proposes an efficient algorithm for recognition of Indian car license plate using morphological operation, thresholding operation, edge detection, bounding boxanalysis for number plate extraction, character segmentation and character recognition using template matching method. Input to the system isimage of the car containing plate and output is the actual characters of the plate. The image is captured in differentweather condition. As the light falling to it changes its brightness and contrast also changes which make it achallenging task. The block diagram of proposed system is depicted in the figure below. The process involves the following steps:

- Pre-processing
- License plate detection
- Character segmentation
- Character matching



S. No.	Methods Recognition	Results	Computational Time
1.	Morphological	Medium	Medium
2.	Mathematical Morphology	Low	High
3.	Hough+Contour	Medium	High
4.	Proposed Method	High	Low

**Shiv Kumar Goel, Manoj Shukla [2]**

This paper highlights various e-governance initiatives like Vahan and Sarathi for issue of driving licence and smart card. The various components of the system are:

**A. Vehicle Identification System:**

The Vehicle Identification System mainly captures a picture of vehicle which is violating the traffic rules on the road with the network of RFID and camera, captured images further used for various purposes as given below:

- It will be used by Registration Recognition Plate subsystem for extraction of vehicle number.

**B. Registration plate recognition system:**

The main purpose of Registration plate Recognition is to process the picture taken by the VIS system and extract the registration number of vehicle number plate. The registration number of the vehicle is the unique identity of the vehicle and holds the owner details.

**C. Electronic Penalty System(EPS):**

After extracting the registration number,the RTO area which the vehicle belongs to will be searched, and the owner details will be obtained. A penalty will further be imposed. After deducting the amount a SMS will be sent to the owner’s registered mobile number. All transactions will be saved in a database for future reference. Data will be stored



in the area wise database, and ultimately it will be sent to the centralized database. The architecture of EPS is divided into 4 stages: Block Level, Village Level, District Level, and State Level.

#### Actual Working Plan:

The VIS and registration plate systems are installed at the e-data centres which are linked with the state data centre (SDC). SDC's are connected with SWAN (State wise Area Network). All state data is connected to the Central Gateway. The cameras and RFID reader are installed on the roadside. The SDC will have the list of all registered vehicles in the state. Whenever some offence occurs, the offender's vehicle plate will be recognized, and data will be obtained via SDC. The RFID reader will extract the information of the owner with the help of data received from Vahan.

#### Siddhartha Choubey, DrG.R.Sinha [3]

The method practiced in this paper recognizes differences between characters by gauging the differences in pixel distribution density of different regions of alphabets and numbers.

#### Actual methodology:

##### A. Pre-processing (Number Plate Extraction)

Various pre-processing steps used are:

- Converting image to grey scale image,
- Converting image to black and white image, and
- Removing all objects smaller than 100 pixels.

##### B. Removing extra area from all regions by detection of first and last columns, done for each character and number.

Vertical scanning is done before horizontal scanning because if skew is present in the input image, then the effect of skew will be minimized. This improvement will reduce the error of first and last columns and rows. Vertical scanning (column by column) is done to detect the first and last columns for each component and cut out the area in between. This separates the license information from the background. After the first and last columns of the component have been detected, horizontal scanning is performed, to detect the first and last rows from the result of the previous step. The output of this step will contain only the characters or numbers. All extra area will be eliminated.

##### C. Character and Number Recognition

Here we use a new methodology in which the characters are separated into sub-groups on the basis of their similarity or different physical appearance.

Each group has a specific template. The template matching the input characters the most, will decide the group of the character. The subgroup is calculated on basis of indexes, by the formula:

**Index of a particular template** = (Number of pixels that matched with that template) / (Total number of pixels in that template).

The subgroup whose template has highest index with respect to the character is chosen as the subgroup.

Once, we get the subgroup then the physical characteristics of each element that differentiate it from other elements are used to identify the element.

#### Case Studies on e-Governance in India 2012-2013 [4]

##### Case Study on Bangalore Police:

Diligent Traffic Enforcement is a major problem across India and it is a subject of major reforms initiated by senior management of all Traffic Police establishments in India. Bangalore Traffic Police attempted to overcome the problems, by converging People, Process and Technology. Traffic enforcement is done in **two** stages, namely:

**Spot fining-** Where a violator is caught while doing violation and is slapped with a spot fine against his violation. The violator is required to pay the fine amount immediately.

**Fine against notices-** Where a violation is noticed and fine details are mailed to the violating vehicle's registered address, as a notice for payment.

##### Challenges in second method:

1. Finding addresses of vehicles registered at RTOs outside Bangalore city.
2. Finding correct & updated addresses of vehicles which frequently changed hands and ownership.
3. Getting the latest version of the registered addresses from the RTOs.

All these problems were coupled by the fact that most of the RTOs were not computerized and the mechanism of data exchange between RTO and Traffic Police was manual, sometimes leading to a backlog of 11 months.



The third challenge was getting the citizens to pay for the violations. The problems here were primarily because of the cumbersome mechanism of payment options available with citizens.

### Implementation

Under the first phase, officers' till the level of a sub-inspector were provided with handheld Blackberry devices and handheld printing devices. These devices had small application software deployed for capturing traffic violations and generating receipts against payments made. These devices were connected with a centralized server system kept at the state data centre using a GPRS / EDGE based mobile network. This helped the police officers capture & record violations online into a central database. The second phase emphasized on **process automation**. Under this activity a central data repository was created at the state data centre and the central repository was connected with all police stations using a VPN network. This ensured that all violations noticed and recorded got consolidated at a central place, accessible to all police stations, which provided the citizen with an option of paying at any police station instead of the station under which the violation was recorded.

Deficiencies	Cause
<b>Financial losses to the exchequer.</b>	<ul style="list-style-type: none"> <li>• Lack of visibility on the field activities being performed by traffic personnel.</li> <li>• Difficulty in fixing accountability.</li> </ul>
<b>Inability to identify and track habitual offenders.</b>	<ul style="list-style-type: none"> <li>• No centralized information repository which can be used to store and track violations using violating vehicle details.</li> <li>• No institutional mechanisms either within processes or through technology, which can allow capturing and consolidation of violation details.</li> </ul>
<b>Inability to validate the authenticity of the violation recorded.</b>	<ul style="list-style-type: none"> <li>• Complex methodology of performing such validations, which make it humanly impossible to perform such activity without having some automation.</li> <li>• Lack of full and updated vehicular data from RTOs.</li> </ul>
<b>Inability to capture latest vehicle registration details from RTOs.</b>	<ul style="list-style-type: none"> <li>• Distributed nature of database maintenance at RTOs.</li> <li>• Lack of online connectivity with Transport Department in order to receive a regular update.</li> <li>• Manual process of data synchronization caused huge delay in getting latest data.</li> </ul>
<b>Difficult and cumbersome process of citizen interactions, including the process of fine payments and also grievance redressal mechanism.</b>	<ul style="list-style-type: none"> <li>• Lack of centralized repository of all violations.</li> <li>• Lack of connectivity between police stations.</li> </ul>
<b>Lack of management information system required for taking key decisions.</b>	<ul style="list-style-type: none"> <li>• No central repository of information.</li> <li>• No definition of key performance indicators.</li> </ul>

The objective was to perform the following series of activities; the activities are listed as per the priority set:

**Priority I** - Create a central hardware infrastructure for hosting centralized knowledge repository.

**Priority II** - Deploy local IT setup at each police station and connect the central hardware infrastructure with police stations.

**Priority III** - Create a central data repository and connect it with the local systems for regular data sharing and synchronization.

**Priority IV** - Develop mobile governance program by creating a mobile infrastructure by distributing handheld devices, connected to the central repository using EDGE / GPRS network, to officers on the field. This would include training to the field officers on handling and using these devices.

**Priority V** - Develop citizen service delivery channels, including website and payment facilitation centres.

### Imran Shafiq Ahmad, Boubakeur Boufama et al [5]

This paper compares the performances of various ANPR systems by testing them on a rich vehicle database in Canada. The extracted information from various ANPR systems is essential for several applications, ranging from automated payments services like toll plazas and parking charges, to more critical applications, like border security and traffic surveillance. The three modules considered in all ANPR systems are:

- Plate Localization,
- Character Segmentation,
- Optical Character Recognition.



The comparative study lists various methods for each of the three modules mentioned above as follows:

#### A. Plate localization and extraction:

The first plate extraction method is based on extracting vertical edges, by using vertical Sobel edge detector.

In the second method, after extracting gradient image, vertical histograms are used to find the most probable strips which may contain the plate. Then, morphological Erosion and Dilation operations are carried out to find the rectangular region of the plate.

#### B. Character segmentation:

The character segmentation is based on the projection of pixels along the horizontal and vertical directions, where pixels of the characters are projected along the vertical direction and the horizontal direction, to identify the vertical positions and the horizontal positions of characters in the plate. This is a widely used method for character segmentation.

#### C. Optical character recognition:

The first method is based on template matching. The given image is first binarised, with a method of adaptive thresholding. Then the pixel-wise matching is performed between the binary image of unknown characters, and the stored binary patterns to find the most similar pattern.

The second evaluated method is based on subspace projection and probabilistic neural network (PNN). The PNN is trained using all character instances in the character space. It has four layers: input, pattern, summation, and decision.

**Input layer** has the same dimension as the character space. For **pattern layer**, the distance between an unknown pattern and all of the existing patterns in each dimension are calculated using the following equation.

$$distance(x_j, x_{ij}) = \frac{1}{\sigma\sqrt{2\pi}} \times e^{-\frac{\|x_j - x_{ij}\|^2}{2\sigma^2}}$$

Where,  $x_j$  represents the value of the input pattern in dimension  $j$ ,

$x_{ij}$  represents the value of pattern  $i$  in dimension  $j$ , and  $\sigma$  is the smoothing factor.

The summation layer adds the similarity of different dimensions for each class which is equal to the probability of the unknown input extracted from this class.

Finally, the decision layer finds the most probable outcome and selects the winner class.

### III. CONCLUSION

M-governance, as a sub domain of E-governance proves to be really helpful to the citizens, by means of a smooth governance system with flexibility and transparency. The main idea behind developing such traffic enforcement systems is to quickly recognize and charge traffic offenders with a penalty and encourage them to follow traffic rules diligently and drive responsibly. Charging penalties to an offender by registering violations against the number plate of the vehicle, enables smooth enforcement and lightens the job of the traffic police. The survey done in this paper highlights the various methods used by researchers for Automatic Number Plate Recognition (ANPR) via plate localization, character segmentation and OCR. The various methods to implement fines have been discussed as well.

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